

24

COPY OF PAPERS
ORIGINALLY FILED

MP/18
PATENT
#9



Attorney Docket No. 5308-162

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Slater, Jr. et al.

Group Art Unit: 2812

Serial No.: 10/057,821

Confirmation No.: 4259

Filed: January 25, 2002

For: LIGHT EMITTING DIODES INCLUDING MODIFICATIONS FOR LIGHT
EXTRACTION AND MANUFACTURING METHODS THEREFOR

Date: April 22, 2002

BOX MISSING PARTS
Commissioner for Patents
Washington, DC 20231

SUBMITTAL OF DECLARATION UNDER 37 C.F.R. § 1.63

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application" mailed February 22, 2002, enclosed is a Declaration and Power of Attorney for the above-identified application, which has been executed by the named inventors. Also enclosed is a Supplemental Information Disclosure Statement.

Enclosed is a check in the amount of \$3,846.00 to cover the filing fee (\$3,716.00) and surcharge under 37 C.F.R. § 1.16(e) (\$130.00). The Commissioner is hereby authorized to charge any additional fee, which may be required, or credit any refund, to our Deposit Account No. 50-0220.

Respectfully submitted,

Mitchell S. Bigel
Registration No. 29,614

Correspondence Address:



20792

PATENT TRADEMARK OFFICE

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box MISSING PARTS, Commissioner for Patents, Washington, DC 20231, on April 22, 2002.

Susan E. Freedman

Date of Signature: April 22, 2002



#3

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Attorney Docket No. 5308-162

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **LIGHT EMITTING DIODES INCLUDING MODIFICATIONS FOR LIGHT EXTRACTION AND MANUFACTURING METHODS THEREFOR**,

the specification of which

☐ is attached hereto

OR

☒ was filed on January 25, 2002 as United States Application No. or PCT International Application Number 10/057,821 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56, including material information that became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

| | | | |
|--------|---------|------------------|--|
| None | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Number | Country | MM/DD/YYYY Filed | Priority Claimed |
| | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Number | Country | MM/DD/YYYY Filed | Priority Claimed |

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

| | |
|-----------------------|--------------------------|
| 60/265,707 | 02/01/2001 |
| Application Number(s) | Filing Date (MM/DD/YYYY) |
| 60/307,235 | 07/23/01 |
| Application Number(s) | Filing Date (MM/DD/YYYY) |

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or § 365(c) of any PCT international application designating the United States of America, listed below.

| | | |
|-------------------|-------------|--------------------------------------|
| None | | |
| Appln. Serial No. | Filing Date | Status Patented/Pending/Abandoned |
| | | |
| Appln. Serial No. | Filing Date | Status Patented/Pending/Abandoned |
| | | |
| Appln. Serial No. | Filing Date | Status Patented/Pending/Abandoned |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following registered attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. I also appoint the following registered attorney(s) to represent me before all competent International Authorities in connection with any and all international applications filed by me with an appropriate receiving office claiming priority to the U.S. application. I also appoint the following registered attorney(s) to make or receive payment on my behalf in connection with the filing of such international applications.

Customer Number:



20792

PATENT TRADEMARK OFFICE

Send correspondence to:

Mitchell S. Bigel

Customer Number:



20792

PATENT TRADEMARK OFFICE

Direct telephone calls to:

Mitchell S. Bigel
(919) 854-1400

Facsimile:

(919) 854-1401

Full name of first inventor: **David B. Slater, Jr.**

Inventor's
Signature: David B. Slater, Jr. Date: 18 April 2002

Residence: **Raleigh, North Carolina**

Citizenship: **United States of America**

Mailing Address: **6424 Cape Charles Drive**
Raleigh, North Carolina 27617

Full name of second inventor: **Robert C. Glass**

Inventor's

Signature:  Date: 4-18-02

Residence: Chapel Hill, North Carolina

Citizenship: United States of America

Mailing Address: 111 Pitch Pine Lane
Chapel Hill, North Carolina 27514

Full name of third inventor: **Charles M. Swoboda**

Inventor's
Signature: Charles M Swoboda Date: 4/16/02

Residence: Morrisville, North Carolina

Citizenship: United States of America

Mailing Address: 709 Walcott Way
Morrisville, North Carolina 27560

Full name of fourth inventor: **Bernd Keller**

Inventor's
Signature: *B. Keller* Date: *April 3, 2002*

Residence: Goleta, California

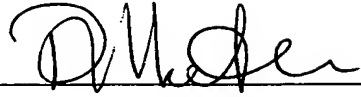
Citizenship: ~~United States of America~~ *Germany*

Mailing Address: 6174 Santa Marguerita Way
Goleta, California 93117

Full name of fifth inventor:

James Ibbetson

Inventor's
Signature:



Date:

4/3/02

Residence:

Goleta, California

Citizenship:

~~United States of America~~

United Kingdom

Mailing Address:

910 Randolph Road
Goleta, California 93111

Full name of sixth inventor: **Brian Thibeault**

Inventor's
Signature: B. Thibeault Date: 4/3/2002

Residence: Santa Barbara, California

Citizenship: United States of America

Mailing Address: ~~1914 Cleveland Avenue~~ 889 Sanford Ct.
Santa Barbara, California ~~93103~~ 93111

Full name of seventh inventor: **Eric J. Tarsa**

Inventor's
Signature: Eric J. Tarsa Date: 3 April 2002

Residence: Goleta, California

Citizenship: United States of America

Mailing Address: 105 Dearborn Place, Apt. 41
Goleta, California 93117